# March 12-14, 2000 The Sheraton Hotel and Towers • Boston, MA

ARENA 2000 - Y2 Connect ARENA's 2000 IACUC Conference March 12, 2000

# IACUCs: The Ethics, the Politics, and the Reality

PRIM&R's 2000 IACUC Conference Co-Sponsored by AAALAC International and Tufts University School of Medicine March 13-14, 2000

This Conference is Supported in Part by an Unrestricted Educational Grant From the Esther & Joseph Klingenstein Fund

At the end of one remarkable century, and at the dawn of the new millennium, it seems useful to engage in some "stock-taking" (pun intended!). But rather than simply counting our animals, the March 2000 PRIM&R and ARENA conferences will examine ways in which their care and use can be improved for all stakeholders involved. As animal programs nationwide grow in both size and complexity, so too must our commitment to their care, feeding, and ethical sufficiency.

The IACUC has primary responsibility for animal care and use, and, at most institutions, is charged by the designated institutional official with assuring that all aspects of the Animal Welfare Act and the Public Health Service Policy are being implemented effectively. What really happens, though, can often be quite different from institution to institution, and these variations are often traceable to funding, staffing, and/or education, i.e., an appropriate understanding of the regulatory, ethical, and husbandry requirements.

These conferences will therefore focus on the legal, moral, and practical aspects of the IACUC's responsibility, and will offer concrete and productive ways to enhance its performance. Representatives from the USDA, OPRR, and AAALAC International will give their perspectives, as will scientists, veterinarians, administrators, ethicists, and other key representatives from academia, research institutes, and industry. Workshops will provide a forum for each registrant to voice her/his opinions and to ask specific questions. Join in this dialogue with your colleagues, and hear what others are doing vis-à-vis thorny issues, share your solutions and approaches, and state your concerns and recommendations to those making the rules.

The ARENA and PRIM&R meetings have been consistently cited as unique and valuable opportunities for information exchange, networking, and informal advocacy. Join us as we welcome together the new era, recharge our batteries, and refuel our commitment via an intensive educational experience.

For those who are new to the IACUC world, please consider attending the March 11 *IACUC 101* course. This session will provide those who are new to the IACUC process, or those in need of a refresher, with a full day course which is both didactic and interactive. IACUC 101 will also be of interest to those who would like to improve their knowledge of IACUC training approaches.

**PRIM&R's Planning Committee:** John Miller (Co-Chair), Harry Rozmiarek (Co-Chair), Kathryn Bayne, Ron DeHaven, James Fox, Nelson Garnett, Molly Greene, Mary Lou James, Frank Loew, Monte Matthews, Gwenn Oki, Marky Pitts, and Andrew Rowan

**ARENA's Planning Committee:** Mary Lou James (Co-Chair), Monte Matthews (Co-Chair), Gary Chadwick, Molly Greene, Susan Kornetsky, Kim Mickey, and Marky Pitts

Accreditation: This activity has been planned and implemented in accordance with the Essentials and Standards of the Accreditation Council for Continuing Medical Education (ACCME) through the joint sponsorship of Tufts University School of Medicine, Public Responsibility in Medicine & Research, and Applied Research Ethics National Association. Tufts University School of Medicine is accredited by the ACCME to provide continuing medical education for physicians.

Tufts University School of Medicine designates the PRIM&R Conference for a maximum of 16 hours and the ARENA Conference for a maximum of 9.5 hours in Category I credit towards the AMA Physician's Recognition Award. Each physician should claim only those hours of credit that he/she actually spent in the educational activity.

Tufts University School of Medicine (TUSM) considers all applicants and program participants without regard to race, color, national origin, age, religious creed, sex or sexual orientation. TUSM is an Equal Opportunity Employer. TUSM does not discriminate on the basis of a disability in admissions, access to treatment, or employment in their programs and activities as identified in the Americans with Disabilities Act.

#### PRIM&R and ARENA Faculties

Kathryn Bayne, M.S., Ph.D., D.V.M. (P, A) Associate Director **AAALAC International** 

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USDA, APHIS, Animal Care Ralph B. Dell, M.D. (P, A) Director

**ILAR** 

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Gerald Fischbach, M.D. [Invited] (P)

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James H. Wong, D.V.M. (P) Director of Assessments Canadian Council on Animal Care

#### **Faculty Key:**

- **PRIM&R Faculty**
- A **ARENA Faculty**
- \* PRIM&R Board Members
- **ARENA Council Members**

# **ARENA's Conference Agenda**

### Saturday, March 11, 2000:

4:30-6:30 Pre - Registration Reception

Sund	ay, Ma	arch 12, 2000:					
7:15 ·	8:15	Registration and Continental Breakfast					
8:15 ·	8:45	Welcome and Introductions Conference Theme and "Warm-up Exercise" Conference Overview ARENA Program Book ARENA's "Distinguished Service Award" Recip The ARENA Guidebook	ient		M. James M. Matthews K. Mickey S. Kornetsky M. Pitts		
8:45 ·	- 10:00	Moderator: nal Guardian ger in the Dike	M. Pitts R. Bianco J. Collins J. Fox L. Willis V. Fratus				
10:15	- 11:3	0 Workshop Series A					
<b>A1</b>	IACUC	C/IO Issues: A. Berger, R. Bianco, J.G. Collins	A9	Protocol Review Process: A	A. Irwin, H. Klemfuss,		
A2	Attend	ling Veterinarian Issues: H. Howard, J. Macy	A10	K. Mickey Post Approval Compliance Monitoring: L. Broker, M. A. Jurgus, C. Stunz			
А3	IACUC K. Har	Administrator Issues: N. Epley, V. Fratus,	A11	IACUC Training Resources: N. Duffee, J. Larson, C. Wigglesworth			
<b>A4</b>		ffiliated Member Issues: S. Cowan, K. Kintzer,	A12	Training & Continuing Education for			
A5		Institution Issues: D. Dreher, M. J. Shepherd	A13	Researchers and Faculty: M. Ellenberger, H. Rush Everything You Wanted to Know About Compliance but Were Afraid to Ask: D. Doyle, K. Bayne, S. Potkay, B. Goldentyer			
<b>A6</b>	Ethica	Il Issues for IACUCs: R. Fischbach, E. Prentice	A14	Occupational Health & Safe J. Gonder	-		
<b>A7</b>	Progra	am Evaluations: M. Greene, C. Hoorn	Crisis Management: M. Brei J. Calnan	nnan, M. Keane,			
<b>A8</b>	Take a	a Virtual Facility Inspection: L. Gerrity, G. Hines	Field Studies/Nontraditional Research Animals: G. Miller, B. Williams, D. Stone				
11:30	- 12:3	0 LUNCH (Buffet) Networking Exerc	ises				
12:30	- 1:45	PANEL II: The Search for Alternatives Alternatives – "In the Beginning, There Was" Policy 12 and Acceptable Alternatives to the Lit Alternative Searches and Inherent Frustrations NIH Initiatives			A. Rowan J. Bielitzki R. DeHaven E. Prentice C. Wigglesworth		
1:45	- 2:15	Special Topic Lecture Networking: Finding Others with Common Inter	ests	Moderator:	M. Matthews J. Norsigian		
2:30	- 3:45	PANEL III: Community Connections Reaching the Community The Patient's Perspective Animal Research, Medical Advances and Reso U. Minnesota Break-In: Addressing the Comm			J. Calnan L. Nader G. Maas M. Brennan M. Keane		
3:45	4:30	Special Topic Lecture  Heart Care International: Realizing our Goals a	nd Enri	<b>Moderator:</b> ching our Lives	M. Brennan R. Michler		

4:30 - 5:45 Workshop Series B (Repeat of Workshop Series A)

5:45 - 6:30 Cheese, Spirits, and Continuing Connections!

# PRIM&R's Conference Agenda

Monday, Ma	rch 13, 2000					
7:45 - 8:30	Registration and Continental Breakfast					
8:30 - 8:40	Welcome & Conference Overview					
8:40 - 9:15	Keynote Address - IACUCs: The Cost-Benefit and "Value Added" to Science by Following the Rule of Animal Welfare Oversight William Raub					
9:15 - 9:35	OPRR Status Report, Including Implementation of the NIH Regulatory Burden Report Nelson Garnett					
9:40 - 10:00	10:00 Sure-Fire Ways to Neglect Animal/Human Protection Obligations and Invite Federal Sa Rush-Presbyterian-St. Luke's Experience David Clark					
10:15 - 11:30	Panel I - What's New and Really New? Animal Research at the Dawn of the New Century					
	Moderator:	John Miller				
	Panelists:	Gerald Fischbach [invited] - The Politics Jerrold Tannenbaum - The Ethics Steven Niemi - The Reality				
11:45 - 12:45	Workshops Series A					
A1	Regulatory Issues: A Dialogue with the OPRR Staff (Please note this will be a two hour session. Lunch will be served.)					
A2	New Members: Beyond IACUC 101 - Questions Raised and Answers Tendered					
A3	Training: Developing Training Materials for Investigators - PRIM&R's Primer					
A4	Hot Spots! The USDA Inspection Process: Managing the Inspection from Both Sides (Please note this will be a two hour session. Lunch will be served.)					
A5	Biotech/Industry: Alternatives, HPV Chemical Testing, and Other Testing Issues					
A6	Advocacy Issues: Finding Funds to Identify Effective Approaches to Enrichment and Refinements					
A7	IACUC Administration: The Role of the Institutional Official, and General Organizational and Administrative Responsibilities ( <i>Please note this will be a two hour session. Lunch will be served.</i> )					
A8	Species-Specific: Rodents Need TLC Too! Aseptic Surgery, Environmental Enrichment, Wire Bottom Cages, and More					
A9	Occupational Health & Safety: Zoonoses and Emerging Infections					
A10	Protocol Review: Scientific Merit Review - Yes, No, Maybe? And, if so, When and How?					
A11	IACUC Member Issues: The Role of the IACUC Chair					
A12	IACUC Responsibilities: Disaster Planning for Small Animal Facilities					
A13	IACUC Resources: Using the AVMA Euthanasia Report to Improve Your SOPs					
A14	Potpourri: Application of Performance Standards in Aquaria, Zoos, Wildlife, Farms, and Behavioral Research					
1:00 - 2:00	Luncheon					

Plenary Address - Implementing Humane Endpoints in Research Protocols Alan Goldberg

2:15 - 2:35

#### 2:40 - 4:00 Panel II - IACUCs - Where are they Now and Where are they Going?

Moderator: Mary Lou James

Panelists: Janet Greger Institutional Support for the IACUC: Funding IACUC

Members and Support Staff, and Incorporating them into

the Institutional Culture

Lauretta Gerrity Out of Sight, But Not Out of Mind: IACUC Responsibility

for Oversight of Animal-Based Research at Contract or

Other Off-Site Facilities

Nicole Duffee Assessing the Adequacy of, and Encouraging Participation in,

**IACUC Training** 

**Bernard Shapiro** The Pros and Cons of Term Limits for the IACUC Chair and

Committee Members

#### 4:15 - 5:15 **Workshops Series B**

B1 Regulatory Issues: An ILAR Update

B2 New Members: Basic Protocol Review Criteria

B3 Training: Training PIs for Handling Confrontational Interactions, and for Talking to the Public About Animal Research

B4 Hot Spots! Compliance: Prevention, Problem-Solving, and Resolution of the Most Frequently Cited Violations

B5 Biotech/Industry: Genetic Engineering, Animal Research, and Biotechnology Involving Farm Animals

B6 Advocacy Issues: Chimpanzee Research and Retirement

B7 IACUC Administration: Implementation of Performance Standards

B8 Species-Specific: Environmental Enrichment for Non-Human Primates

B9 Occupational Health & Safety: Developing and Implementing OH&S Programs

B10 Protocol Review: The 3Rs, Literature Searches, and Policy #12: Creative Approaches to Obtaining Adequate Responses

B11 IACUC Member Issues: What is a Properly Constituted Committee? What Constitutes a Quorum? Can the IACUC Use Alternates?

B12 IACUC Responsibilities: Defining Significant and Minor Programmatic Deficiencies

B13 IACUC Resources: The Role of the Institutional Official in Supporting the IACUC

Potpourri: Defining and Determining Humane Endpoints, and an Update on the Organization for Economic Cooperation and Development's (OECD) Report

#### 5:15 - 6:15 Wine and Cheese Reception

#### 7:30 - 10:00 **Dinner and Dancing!**

Tuesday, March 14, 2000							
7:00 - 8:00	Yoga						
7:45 - 8:30	Continental Breakfast						
8:30 - 9:15	Topical, Tense, and Timely! - Genetic Engineering and Xenotransplantation: How These Two Issues will Affect Animal Care and Research?						
	Genetic Engineering: Nelson A. Wivel Xenotransplantation: David Sachs						
9:15 - 10:30	Panel III - What's Old and Unresolved? Distress!						
	Moderator:	Andrew Rowan					
	Panelists:	Ron DeHaven - Defining Distress for AWA Reporting Purposes Joy Mench - The Committee of Experts' Report on Animal Pain and Distress John Capitanio - The Effects of Stress on Research Results Daniel Carr - The Continuum of the Vertebrate Pain Experience					
10:45 - 11:10	USDA Status and Affirmativ	Report – How Can the IACUC Community Best Respond to, vely Impact, the Regulatory and Interpretive Processes? <i>Ron DeHaven</i>					
11:15 - 12:15	Workshops S	Series C					
C1	Regulatory Iss	sues: A Dialogue with USDA Staff					
C2	New Members: Semi-Annual Program Reviews and Facility Inspections						
C3	Training: Using Information Technology in Your Training Program						
C4	Hot Spots! More About Distress(A follow up to Panel III)						
C5	Biotech/Indus	stry: Novel Test Compound Vehicles, Guidelines, and Formulations					
C6	Advocacy Issues: The Ethical and Public Policy Issues Involving Cloning and Genetic Engineering						
C7	IACUC Administration: Organizational Structure and Reporting Lines - The IACUC Chair, Administrator Institutional Official, and Investigator Interactions (Please note this will be a two hour session. Lunch will be served.)						
C8	Species-Specific: Farm Animal Research and the "Ag Guide"!						
C9	Occupational	Health & Safety: Xenotransplantation, Xenografts, and Zoonotic Diseases					
C10	Protocol Revi	ew: Form Over Function, or Making the Form Fit the Function					
C11	IACUC Member Issues: The Role of the Non-Affiliated & Non-Scientist Members						
C12	IACUC Responsibilities: Responding to Internally or Externally Generated Complaints Regarding Animal Welfare						
C13	IACUC Resou	urces: OMB Circular A-21 and Your Per Diem Rates!					
C14	Potpourri: The	e Use of Animals in Education					

Potpourri: Issues in the Management and Oversight of Rodent Colonies

#### 12:30 - 1:30 **Luncheon**

C15

#### 1:45 - 3:00 Panel IV - The AAALAC International Report on IACUCs

Moderator: Kathryn Bayne

Panelists: *Marilyn Brown* - IACUC Issues

Christian Newcomer - Occupational Health & Safety Issues

DeWayne Walker - Facilities Issues

#### 3:15 - 4:15 Panel V - New and Significant Resources for IACUCs in the Year 2000 and Beyond!

Moderator: *Harry Rozmiarek* 

Panelists: Jerald Silverman - The IACUC Handbook

Alan Goldberg - CAAT Project on Alternative Search Engines

Stuart Nelson - National Library of Medicine and the Alternatives Project

Marky Pitts - Planned Revisions to the IACUC Guidebook

Monte Matthews - IACUC 101 On the Road!

#### 4:30 - 5:30 Workshops Series D

- D1 AAALAC Accreditation Issues: The Most Common IACUC Deficiencies
- D2 New Members: Developing IACUC Policies and Procedures
- D3 Training: Assessing and Documenting IACUC Training Materials
- D4 Hot Spots! Mouse Ascites, Monoclonal Antibodies and Complete Freund's Adjuvant
- D5 IACUC Issues: Wildlife Research
- D6 Advocacy Issues: Legislative and Judicial Initiatives Relating to Animal Research
- D7 IACUC Administration: Inter-Institutional Agreements and Affiliated Institutions Implications for Protocol Review, Semi-Annual Reviews, and Inspections
- D8 Species-Specific: Fish and Other Cold Blooded Species
- D9 FOIA & Information Release, including OMB Circular A-110
- D10 Protocol Review: Progress Reports, Modifications, Addenda, and Grant Proposals Linkage
- D11 IACUC Member Issues: The Role of the Attending Veterinarian
- D12 IACUC Responsibilities: Developing a Disaster Plan for Large Facilities
- D13 Potpourri: International Harmonization of Animal Welfare Standards
- D14 Potpourri: "How Animals Die..." Pain and Distress Associated with Veterinary Conditions
- D15 Potpourri: Special Concerns for DOD Facilities

#### 5:30 - 6:30 Wine, Cheese and Fond Farewells!

# PRIM&R and ARENA Conference Registration Information

#### **General Information:**

Registration for both meetings is required by **February 14**, **2000**. Registrations received after the February 14 deadline will be subject to a **late fee of \$50**. Meeting attire is business casual (university/hospital tee shirts are welcome).

Please complete the registration form and mail it with your check, purchase order, or credit card information to: **PRIM&R**, **Attn: IACUC Conference**, **132 Boylston Street**, **4th Floor**, **Boston**, **MA 02116**. If you are registering for both conferences, please note that **separate checks** are required – one made payable to PRIM&R, and one made payable to ARENA. To ensure accurate numbers for materials and meals, all forms of payment (i.e., check, purchase order, or credit card) will be processed immediately and are subject to the cancellation/substitution policy stated below.

For **confirmation** of your registration, please check the appropriate box on your registration form. Please note that confirmation is available by **email only** and will be sent to the registrant's email address as indicated on the registration form. One check may be used to pay for a group, but a separate registration form must be completed for each registrant.

Photocopies of all registration forms are acceptable. If you have any questions, please contact the PRIM&R office at (617) 423-4112, fax (617) 423-1185, or email comp.

#### **Cancellation/Substitution Policy:**

Substitutions may be made at any time without additional charges. Refunds will be issued for all cancellations received by February 21, 2000, less a \$75 processing fee. **Cancellations and substitutions must be made in writing.** 

#### PRIM&R Fees and Scholarships:

The individual registration fees for the PRIM&R Conference are \$430 per person. Fees cover two continental breakfasts, two lunches, two receptions, one dinner, and course materials. Full-time students, or others requiring financial assistance, are encouraged to contact the PRIM&R office for scholarship information.

#### **Conference Proceedings:**

The conference proceedings for the PRIM&R meeting are expected to be published by October 2000 and may be ordered in advance by using the attached registration form. The cost is \$30 and includes postage and handling.

#### **ARENA Fees:**

The ARENA Conference fees are \$150 per person for ARENA members and \$195 for non-members. Fees cover preconference reception, continental breakfast, lunch, evening reception, and course materials. ARENA dues are \$100 annually. If you are interested in joining ARENA, you may include the membership fee (\$100) and pay the conference member rate of \$150.

#### **Hotel Accommodations/Travel:**

For reservations, call the **Sheraton Boston Hotel and Towers** at **(800) 325-3535 or (617) 236-2000**. Rate: \$157 (single or double) per night. To receive the discounted rate, you must make your reservation by **February 14, 2000** and identify yourself as an attendee of the PRIM&R/ARENA conferences. Rooms in the PRIM&R block cannot be guaranteed, and rates are subject to room availability. Thus, early reservations are strongly recommended. If you have any difficulty reserving a hotel room, please call the PRIM&R office at (617) 423-4112.

PRIM&R, ARENA, and the Sheraton Boston Hotel & Towers are committed to providing full access to attendees with disabilities.

# PRIM&R and ARENA 2000 IACUC Conference Registration Form

Please list your name, title, and institution as you wish them to appear on your nametag. Thank you! Name & Degree(s)\_\_\_\_\_ Institution Address **Registration Confirmation** Yes, I would like to receive confirmation of my registration by email. (Please note that confirmation by fax or telephone is not available. Sorry!) Please let us know if this is your first time at one of our conferences, so that we might greet you more personally... Yes, this is my first ARENA conference! Yes, this is my first PRIM&R conference! **Workshop Selections** You may attend ONE workshop in each series. Please fill in the corresponding number for each of the workshops you wish to attend (e.g., A1, B5, C13, D7). Due to the number of expected attendees, you MUST select your workshop choices. ARENA Conference Workshops PRIM&R Conference Workshops

Attn: ARENA Workshop Attendees! To help ensure your questions and issues are addressed, please email them, along with the workshop number(s) to which they apply, before February 20 to Mary Lou James (mljames@mo.net). She will then forward them to the appropriate workshop leaders so that, where possible, they can be put on the session's agenda.

A B C D

#### PRIM&R 2000 IACUC Conference Dinner

A \_\_\_\_\_ B \_\_\_\_

Please specify any dietary requests or other special needs you might have during your time with us:							
Yes No							
(If you do not respond to this question, no dinner ticket will be issued. Sorry!)							

Will you be joining us for our Conference Dinner on Monday, March 13, 2000?

### PRIM&R Fees (Separate Check Payable to PRIM&R) Individual Rate \$430 \_\_\_\_\_ \$50 \_\_\_\_\_ Late Registration Fee (after 2/14) Conference Proceedings (avail. 10/00) \$30 \_\_\_\_\_ PRIM&R Total I am using Purchase Order or Check # **ARENA Fees** (Separate Check Payable to ARENA) \$150 \_\_\_\_\_ Member \$195 Non-Member Join ARENA today and receive all the benefits of membership, including the ARENA Newsletter, networking opportunities, and conference registration at the low member rate. \$100 \_\_\_\_\_ 2000 Membership Dues **ARENA Total** I am using Purchase Order or Check # **Credit Card Orders** \_\_Visa \_\_ Master Card Credit Card # **Expiration Date** Print Cardholder's Name Address Zip Code City State

cancellation policy, and I hereby agree to all terms and conditions as stated in this brochure.

I have read and understand PRIM&R and ARENA's

Signature

Please mail this registration form with payment to:
PRIM&R
Attn: IACUC Conference

132 Boylston St., 4th Floor Boston, MA 02116

If you are using a Credit Card or P.O., you may fax the registration to (617) 423-1185. A copy of the P. O. must accompany the registration form.